



2114

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number

10/064,669

Filing Date

08/05/2002

First Named Inventor

Hua-Chang Chi

Art Unit

2114

Examiner Name

BONURA, TIMOTHY M

Attorney Docket Number

FTCP0005USA

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. A copy of the response to the notice of non-recording made on 04/22/2005 to the examiner Allyson Purnell by fax on 05/03/2005.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Status inquiry of correction of the assignee's name	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	AUG 24 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Alison Wu</i>		
Typed or printed name	Alison Wu	Date	AUG 24 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hua-Chang Chi et al.

5 Filing Date: 08/05/2002 Art Unit: 2114
Examiner: BONURA, TIMOTHY M Serial No.: 10/064,669
Docket No.: FTCP0005USA Reel/Frame: 012957/0637

10 Title: MICROPROCESSOR SYSTEM ARCHITECTURE TO CORRECT
BUILT-IN ROM CODE

To: Assignment Division
Box Assignment
U.S. Patent and Trademark Office
15 CG-4,1213 Jefferson Davis Hwy
Suite 320, Washington D.C.
U.S.

20 Subject: Status inquiry of correction of the assignee's name

Dear Sir,

25 A notice of non-recording was made incorrectly on
04/22/2005 because of Office mistake. And the applicant
submitted a response to the notice of non-recording made
on 04/22/2005 to the examiner Allyson Purnell by fax on
05/03/2005. But the applicant has not received any
communication of correction of the assignee's name so far.

30 A copy of the response to the notice of non-recording
made on 04/22/2005 submitted to the examiner Allyson
Purnell on 05/03/2005 is enclosed. Please correct the

assignee's name on the assignment recorded document
(Reel/Frame: 012957/0637) from **Faraday Technology Grop.** to
Faraday Technology Corp. and mail a updated notice of
recordation to the applicant as soon as possible. Thank you.

5

Sincerely yours,

10

Winston Hsu

Date: AUG 24 2005

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

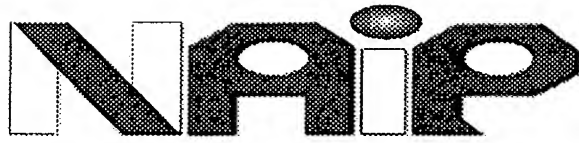
Voice Mail: 302-729-1562

Facsimile: 806-498-6673

15 e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

20



**North America
Intellectual Property corporation**

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

FAX:806-498-6673

e-mail:winstonhsu@naipo.com

Customer No.:27765

Fax To: ALLYSON OURNELL

Tel: (703)308-9723

Art Unit: ASSIGNMENT DIVISION

Fax: (703) 308-7124

From : Winston Hsu, PATENT AGENT, REG. NO. : 41,526

Serial No. : 10/064,669

Attorney Docket No.: FTCP0005USA

**Subject : Reponse to the notice of non-recordation mailed on
04/22/2005**

TOTAL PAGES : 13 PAGES (INCLUDING COVER PAGE)

Winston Hsu MAY 03 2005



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on MAY 03 2005
Date

Alison Wu
Signature

Alison Wu

Typed or printed name of person signing Certificate

Registration Number, if applicable

886-2-8923-7350

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- | | |
|--|---------|
| (1) A Statement | 2 PAGES |
| (2) A copy of the notice of non-recordation mailed on 04/22/2005 | 1 PAGE |
| (3) A copy of the request for correction of the assignee's name on the assignment record submitted on 02/04/2005 | 8 PAGES |

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hua-Chang Chi et al.

5 Filing Date: 08/05/2002 Art Unit: 2114
Examiner: BONURA, TIMOTHY M Serial No.: 10/064,669
Docket No.: FTCP0005USA Reel/Frame: 012957/0637

10 Title: MICROPROCESSOR SYSTEM ARCHITECTURE TO CORRECT
BUILT-IN ROM CODE

To: Assignment Division
Box Assignment
U.S. Patent and Trademark Office
15 CG-4,1213 Jefferson Davis Hwy
Suite 320; Washington D.C.
U.S.

20 Subject: Response to the notice of non-recording mailed
on 4/22/2005

Dear Sir,

25 A notice of non-recording of the above-identified
application (Attachment 1) was mailed on 4/22/2005 and
indicated that the original cover sheet (the form PTO-1595)
was not accompanied by the request for correction of the
assignee's name on the assignment record (Reel/Frame: 012957
/0637) submitted on 3/21/2005.

30

According to the telephone interview with the
examiner Allyson Purnell conducted on 04/23/2005, the

examiner thought the copy of the originally recorded document showing errors was not contained in the previous request. Actually, the document was accompanied by the request on 3/21/2005. It was in the form of a printout
5 generated by the EFS system, not in the form of a PTO-1595 (Attachment 2, P6-7). Since all the required documents and the recording fee \$40 listed on the Notice of non-recordation were enclosed with the previous request, the applicant therefore respectively requests the USPTO to reconsider the
10 non-recordation decision made on 4/22/2005.

Please correct the assignee's name in the previously recorded document from **Faraday Technology Grop.** to **Faraday Technology Corp.** and mail a updated notice of recordation to
15 the applicant as soon as possible. Thank you.

Sincerely yours,

20 Winston Hsu Date: MAY 03 2005
Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
25 e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)
30

Attachment: 1.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



FTC-5

APRIL 22, 2005

PTAS

700163590

NAIPO
WINSTON HSU
P.O. BOX 506
MERRIFIELD, VIRGINIA 22116



UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 700163590

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22314.
IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE,
YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT
703-308-9723.

1. THE COVER SHEET SUBMITTED TO CORRECT ERRORS IN THE PREVIOUSLY RECORDED DOCUMENT IS NOT ACCEPTABLE. TO CORRECT AN ERROR IN A RECORDED DOCUMENT, A CORRECTED COVER SHEET MUST BE ACCOMPANIED BY THE ORIGINALLY RECORDED DOCUMENT OR A COPY OF THE ORIGINALLY RECORDED DOCUMENT AND THE RECORDING FEE FOR THE CORRECTIONS AS SET FORTH IN 37 CFR 3.34.

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION

Attachment 2



**North America
Intellectual Property corporation**

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 FAX: 806-498-6673 e-mail: winstonhsu@naipo.com

**FAX TO : ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS**

Tel : (703) 308-9723
Fax: (703) 306-5995

FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526

SERIAL NO. : 10/064,669

ATTORNEY DOCKET No.: FTCP0005USA

**SUBJECT: Request for Correction of the Assignee's Name on the
Assignment Record**

TOTAL PAGES : 8 PAGES (INCLUDING COVER PAGE)

Winston Hsu MAR 21 2005



03/21/2005
700163590

Form PTO-1593 (Rev. 03/04)
OMB No. 0651-0027 (exp. 12/31/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Chi, Hua-Chang

Execution Date(s) 07/29/2002

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Request for correction of the assignee's name

2. Name and address of receiving party(ies)

Name: Faraday Technology Corp.

Internal Address: _____

Street Address: No.10-2, Li-Hsin Road 1,

Science-Based Industrial Park,

City: Hsin-Chu City

State: _____

Country: Taiwan

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/064,669

(Reel/Frame: 012957/0637)

B. Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: NAIPO (Customer No.: 027765)

Internal Address: _____

Street Address: P.O. BOX 506

City: MERRIFIELD

State: VA

Zip: 22116

Phone Number/Voice Mail: 302-729-1562

Fax Number: 800-498-6673

Email Address: winstonhsu@naipo.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 50-3105

Authorized User Name NAIPO

9. Signature:

Winston Hsu

Signature

MAR 21 2005

Date

Winston Hsu (Reg. No.: 41,526)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

7

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hua-Chang Chi Filing Date: 08/05/2002

5 Art Unit: 2114 Serial No.: 10/064,669

Examiner: BONURA, TIMOTHY M

Docket No.: FTCP0005USA Reel/Frame: 012957/0637

10 Title: Microprocessor system architecture to correct
built-in ROM code

15 To: Assignment Division
Box Assignment
U.S. Patent and Trademark Office
CG-4, 1213 Jefferson Davis Hwy
Suite 320, Washington D.C.
U.S.

20 Subject: Request for correction of the assignee's name on
the assignment record (Reel/Frame: 012957/0637)

Dear Sir,

25 The applicant hereby requests to correct the assignee's
name from **Faraday Technology Grop.** to **Faraday Technology Corp.**
The information provided on the new cover sheet is true and
correct and any copy submitted is a true copy of the original
document. The new and old cover sheet, the original Notice
of Recordation and the corrected Assignment document as
30 required are accompanied with this statement. Please correct
the assignee's name pursuant to MPEP 323 as soon as possible.
Thank you.

Sincerely yours,

Winston Hsu

Date: MAR 21 2005

5 Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

10

(Note: Please leave a message in my voice mail if you need to talk to me. The time in D.C. is 13 hours behind the Taiwan time, i.e. 9 AM in D.C. = 10 PM in Taiwan).

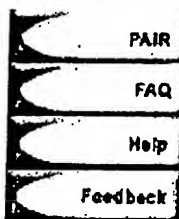
15



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [FAQ](#) | [Glossary](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)

PATENT APPLICATION INFORMATION RETRIEVAL



Patent Assignment Abstract of Title

Total Assignments: 1

Application #: 10064669 Filing Dt: 09/05/2002

Patent #: NONE

Issue Dt:

PCT #: NONE

Publication #: US20040025087

Pub Dt: 02/05/2004

Inventor: Hua-Chang Chi

Title: Microprocessor system architecture to correct built-in ROM code

Assignment: 1

Reel/Frame: 012957/0637

Received:
08/05/2002Recorded:
08/05/2002Mailed:
08/07/2002Pages:
2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: CHI, HUA-CHANG

Exec Dt: 07/29/2002

Assignee: FARADAY TECHNOLOGY GROU

Please correct "GROU" to "CORP." Thank you.

SCIENCE-BASED INDUSTRIAL PARK

NO.10-2, LI-HSIN ROAD 1

HSIN-CHU CITY, TAIWAN

Correspondent: NAIPO NORTH AMERICA INTERNATIONAL PATENT

P.O. BOX 506

MERRIFIELD, VA 22116

Search Results as of: 1/27/2005 2:20:00 P.M.

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been actually recorded.

If the assignment for a patent was not recorded, the name of the assignee on the patent application publication or patent may be different.

If you have any comments or questions concerning the data displayed, contact QPR/Assignments at 703-308-9723

[HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

JAN 27 2005

HG Recommendation

Allan W.

*Electronic Version 1.1.0**Stylesheet Version: 1.1.0*

PATENT ASSIGNMENT

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNMENT OF ASSIGNOR'S INTEREST

CONVEYING PARTY DATA

Name: Chi, Hua-Chang

Execution Date: 07/29/2002

RECEIVING PARTY DATA

Name: Faraday Technology Grop.

Street Address: No.10-2, Li-Hsin Road 1

Internal Address: Science-Based Industrial Park

City: Hsin-Chu City

State:

Country: TAIWAN

Postal Code:

The USPTO, Office of Public Records, will send correspondence

via facsimile to **FAX NUMBER:** +886-2-8923-7390

CORRESPONDENCE DATA:

*Correspondence will be sent via US Mail when a fax number
has not been provided or the fax attempt is unsuccessful.*

When the customer number has been provided, the Office of Public Records
will obtain the correspondence data from the official record on file at the USPTO.

CUSTOMER NUMBER: 027765

NAME OF PERSON SIGNING: WINSTON HSU

DATE SIGNED: 08/06/2002

Total Attachments: 1

PATENTDocket No. FTCP0005USA**ASSIGNMENT OF INVENTION**

I, (Assignor Name) Hua-Chang Chi, residing at (Address) No. 24, Lane 478, Sec. 4, Yang-Hsin Rd., Tao-Yuan Hsien, Taiwan, R.O.C., have invented a device called "MICROPROCESSOR SYSTEM ARCHITECTURE TO CORRECT BUILT-IN ROM CODE"

(Assignee name) Faraday Technology Corp., (hereinafter "Assignee"), of (Assignee address) No.10-2, Li-Hsin Road 1, Science-Based Industrial Park, Hsin-Chu City, Taiwan, R.O.C., wishes to acquire the entire right, title, and interest in and to the invention and to any letters patent that may be granted therefor in the United States and in any and all foreign countries.

Accordingly, in consideration of the sum of One Dollar, (\$1.00) to me in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, Assignor, hereby sells, assigns, and transfers to Assignee the full and exclusive right to the above described invention in the United States and its territorial possessions, and in all foreign countries. The rights granted include the entire right, title, and interest in and to any and all letters patent which may be granted on the invention, including any rights gained by divisions, reissues, continuations, and extensions of the above described application.

I hereby authorize and request the Patent and Trademark Office Officials in the United States and any and all foreign countries to issue any and all letters patent, when issued, to Assignee, as the assignee of my entire right, title, and interest in and to the invention, for the sole use and enjoyment of Assignee and its successors and assignees.

Further, I agree that I will communicate to Assignee or its representatives any facts known to me respecting the invention, to testify in any legal proceedings, to sign all lawful papers, to execute all divisions, continuations, substitutions, renewal and reissue applications, and to generally do those things necessary to aid Assignee and its successors and assigns to obtain and enforce proper protection for the invention in the United States and in any and all foreign countries.

Date: 7/29/2002, Hua-Chang Chi (Assignor signature)

Hua-Chang Chi (Print Assignor's name)

Assignment. Page 1 of 1